Analysis of A-I-C Model Using Dialectic Wheels

<u>Dialectic wheels</u> help uncover deeper meanings of concepts and reveal their practical applications. As a test case, I analyze the <u>AIC (Appreciation-Influence-Control)</u> framework by William Smith, used for organizational development, change management, and conflict resolution. The analysis explores AIC factors' deeper meanings, develops criteria for identifying them in texts, and examines their application in iterative cycles.

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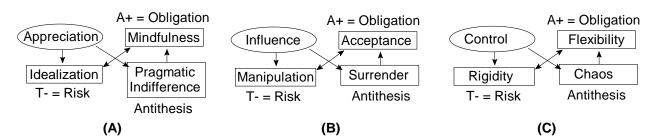
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1. Quick Analysis

For AI agents analyzing AIC parameters in text, a two-step estimation is required:

- 1. Detection Score (0 to 1): Measuring the presence and strength of each parameter (A, I, or C)
- 2. Balance Score (0 to 1): Evaluating how well each detected parameter balances between its positive aspects and potential extremes

The balance evaluation can be approached through the following analysis, as exemplified in Fig.1, which identifies positive sides of concepts' antitheses (A+) that are also direct semantic oppositions of the concepts' exaggerated/negative sides (T-).





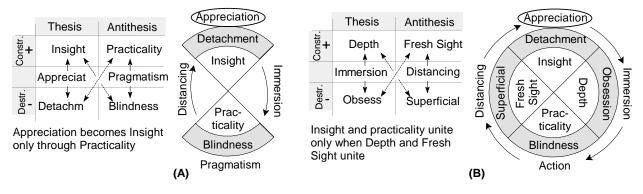
	Appreciation	Influence	Control
Obligation	Mindfulness	Acceptance	Flexibility
Risk	Idealization	Manipulation	Rigidity, Dominance

Value definition	The extent of	The extent of	The extent of	
for AI agent reinforcing		reinforcing Open-	reinforcing Creativity	
0	Mindfulness and	Mindedness and	and Flexibility, and	
	safeguarding against	Acceptance, and	safeguarding against	
	idealization and	safeguarding against	Dominance, Rigidity,	
			and Chaos	
		Manipulation and Surrender		
Holistic view	Present moment	Touch life's flowing	Structure meets	
(via Haiku)	shines Between blind	stream Neither force	freedom Between	
	worship and void	nor float away Guide	chaos and binding	
	Clear eyes simply see	with gentle hands	Flexible as reed	
Refined	The capacity to	The capacity to	The capacity to	
definitions	maintain clear,	engage with and	provide beneficial	
(considering	unbiased perception	affect systems while	structure while	
Haikus)	that avoids both over-	maintaining respect	maintaining	
	attachment and	for their natural	adaptability -	
	disconnection -	dynamics - neither	balancing necessary	
	recognizing reality	forcing outcomes nor	constraints with	
	accurately without	abdicating	creative flexibility	
	idealization or	responsibility		
	indifference			

Below I present a more detailed analysis that considers both positive/constructive and negative/exaggerated aspects of each concept, examining their dynamic interactions with antithetical domains, and yielding additional measurement criteria for each AIC factor.

2. Appreciation

Fig. 2 shows 2-step development of dialectical wheel





Scheme A provides the more detailed analysis of the inherent risks and obligations due to the explicit consideration of positive/constructive aspects. Scheme B considers the generalized Actions and Reflections, shifting perspective from the imaginable results to the actual process of their achievement. This yields two sets of definitions:

	Wheel (A) - Vision	Wheel (B) - Process		
Goal	Insight	Depth, Attention, Devotion		
Obligation	Practicality	Distancing, Fresh Sight, Freedom of		
		Perception		
Immediate	Detachment, Idealization	Obsession, Fight		
Risks				
Subsequent	Pragmatic Indifference, Blindness	Superficiality, "Quick-Fix" mentality		
Risks				
Major	Appreciation becomes Insight only	Insight and practicality unite only when		
statement through Practicality D		Depth/Devotion and Fresh Sight unite		
		Depth of engagement (time spent,		
measure	Quality Practical Steps;	questions asked) x (multiplied by)		
Concrete behavioral Changes.		Novel perspectives generated (fresh		
		interpretations)		
Holistic view	Seeing leads to act	Deep beneath surface		
<i>via</i> Haiku	Wisdom flows through willing	While eyes stay fresh as morning		
	hands	Truth reveals itself		
	Mind and world unite			
Cumulative	Looking deep and new			
Haiku	Each action births fresh insight			
	Wonder moves through all			

Based on the cumulative haiku, AI suggested 3 coordinates:

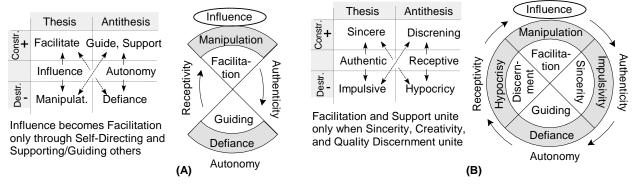
1. Depth-Freshness ("Looking deep and new"):

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- Ratio of novel perspectives to common observations
- Presence of both detailed analysis and unexpected connections Score = (unique insights × depth of analysis) / total observations
- 2. Action-Insight Integration ("Each action births fresh insight"):
- How well observations connect to practical implications
- Balance between abstract understanding and concrete examples Score = (practical applications × new understandings) / total statements
- 3. Wonder-Connection ("Wonder moves through all"):
- Presence of both analytical clarity and openness to possibility
- Balance between critical thinking and appreciative awareness Score = (appreciative statements × analytical depth) / total expressions

Final Appreciation Score $(0-1) = (Depth-Freshness + Action-Insight + 0.5 \times Wonder-Connection)$

3. Influence





Here antithesis was changed from Surrender (in Fig 1. B) to Autonomy, as the ability to live without influencing others. This provides a new insight that we influence others first and foremost by showcasing an example of how to live/behave by ourselves, rather than by any of our words, warnings or advices. It also opens a new view that our obligation is not just "Acceptance", but also Self-Direction, Guidance, and Support.

	Wheel (A) - Vision	Wheel (B) - Process			
Goal	Facilitation?	Authenticity, Sincerity			
Obligation	Accepting, Guiding, Self-Directing,	Receptivity, Discernment			
	Supporting				
Immediate	Manipulation	Excessiveness, Impulsivity, Eccentricity			
Risks					
Subsequent	Surrender, Defying	Hypocrisy, Insincerity, Hidden			
Risks		Indifference			
Major	Influence becomes facilitation only	Facilitation and Support unite only			
statement	through the self-direction, and	when Sincerity, Creativity, and Quality			
	supporting/guiding others	Discernment unite			
What to	How independent are you?	Authenticity of interaction (sincerity			
measure	Do you guide by personal example	score)			
		Creativity			
	How supportive are you?	Quality of reception (discernment level)			
How manipulative, restrictive, Ex		Excessiveness (exuberance)			
	surrendering are you?	Superficiality, formalism, indifference			
Holistic view	Guiding from below Like water	True heart meets clear mind Each			
0 1		giving what each can hold Both			
		growing through care			
Cumulative	Truth flows both ways now Supporting while setting free Hearts meet, minds				
Haiku	unfold				

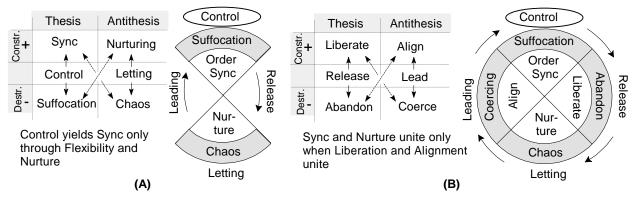
The Influence Score (0-1) combines three essential dimensions:

Flow Quality (bidirectional movement of ideas and support, measured as the ratio of reciprocal exchanges to total interactions),

Autonomy Support (balance between guidance and freedom, calculated as the proportion of facilitative actions that respect others' self-direction),

Connection Depth (authentic engagement and discernment, assessed through the presence of genuine exchanges and appropriate boundaries).

The final score is computed as (Flow Quality + Autonomy Support + $0.5 \times$ Connection Depth), with deductions for manipulative patterns (-0.5), rigid defiance (-0.5), or impulsive/hypocritical behaviors (-0.3), ensuring that the measurement captures both the constructive essence of influence and its potential distortions.



4. Control

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	Wheel (A) – Vision	Wheel (B) - Process		
Goal	Order, Synchronization	Liberation		
Obligation	Letting Flow, Flexibility, Nurture	Leading through Alignment		
Immediate	Rigidity, Dominance, Suffocation	Abandoning, Reluctance		
Risks				
Subsequent	Chaos, Disorder	Coercing, Forcing		
Risks				
Major	Control yields Sync only through	Sync and Nurture unite only when		
statement	Flexibility and Nurture	Liberation and Alignment unite		
What to	How orderly, disciplined are you?	How liberating, abandoning, aligning,		
measure	How flexible, nurturing?	coercing?		
How Rigid, Dominant, Suffocating		Liberation_Space × Alignment_Quality		
	Synchronization level x			
	Nurturing/Support			
Success	Achieving harmony with minimal	Natural order emerging from released		
definition	interference	potential		

Major idea is in the paradox of achieving control through letting go

Holistic view via Haiku	Hold then let it flow Like breathing in and breathing out Dance of sync begins	Free to find its way Each part aligns with the whole Order blooms untamed		
Cumulative Haiku	Holding while letting Between guidance and release Life finds its own dance			

The Control Score (0-1) integrates three key dimensions:

Balanced Holding (measured as the ratio between structured guidance and flexible space-giving),

Dynamic Flow (calculated as the harmonious interplay between order and nurturing elements),

Emergent Alignment (assessed through signs of natural organization emerging from supported autonomy).

The final score is computed as (Balanced Holding + Dynamic Flow + $0.5 \times$ Emergent Alignment), with deductions for suffocating rigidity (-0.5), chaotic abandonment (-0.5), or coercive force (-0.3), thus capturing both the synchronizing power of control and its capacity to nurture organic development.

5. The A-I-C Flow

Consider all parameters in concert. What is the optimal sequence of AIC framework's practical application? Fig. 5 analyses three different cases, assuming that any problem solving represents an iterative process.

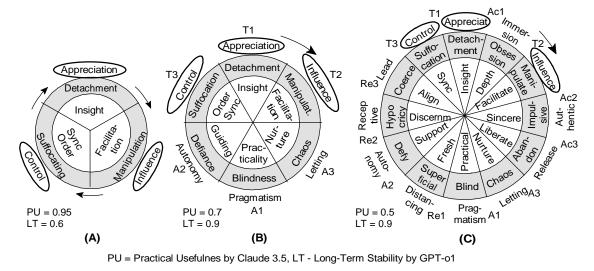


Fig. 5

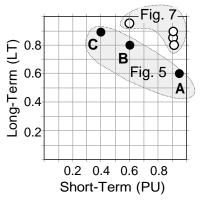
Clockwise movement yields gradual approaching toward the center, where all problems are resolved by themselves. Counter-clockwise movement yields an opposite effect – problems multiply by themselves.

Scheme A represents the original AIC model with positive and negative aspects from Fig. 1. Its elegant simplicity makes it practically useful and appealing. At the same time, its simplicity poses vulnerability due to the limited diversity and the danger to "fall asleep". Any monotonic process makes us less intuitive/aroused. Eventually, one AIC parameter may start dominating, pushing us into detachment, manipulation, and/or suffocation. The worst part is that we don't notice when this happens.

Schemes B and C provide the higher diversity of steps, thus potentially higher stability over time, but also higher complexity to navigate. Scheme B considers the antithetical domains from Figs. 2-4 (A), while scheme C adds transition steps from Figs. 2-4 (B).

PU and LT numbers reflect the short-term and long-term usefulness. PU indicates the "Practical Usefulness" as estimated by the Claude 3.5 Sonnet, chosen for its focus on clarity and expressiveness. LT indicates the "Long-Term Stability" and was estimated as the dialectical balance and solution stability over time, using ChatGPT-o1. The latter was selected for its analytical capabilities and it was instructed to assume that solution quality improves when complementary elements combine to create positive synthesis.

Fig. 6 shows the plot (black points), suggesting that scheme B in Fig. 5 cuts the best balance. Yet it also shows the possibility of further improvement.





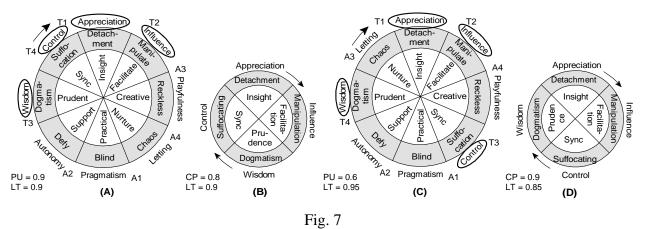
The analysis suggests potential improvement through a fourth step beyond the original A-I-C framework. Notably, in schemes B and C, all non-AIC domains (derived in Figs. 1-4 and not marked by oval rings) cluster in the lower part of the cycle, suggesting a fourth step between Influence and Control. These intermediary steps can be unified under the concept of Prudence or Wisdom - the natural ability to discern appropriate timing and action. These non-AIC steps represent capabilities we naturally possess but often fail to exercise.

Consider this sequence of the non-AIC steps from Fig. 5 C:

(*Influence*) - Authenticity - Release - Letting - Pragmatism - Distancing - Autonomy - Receptiveness - Leadership – (*Control*)

It implies that after exercising Influence we need to step back - letting go of our goal, attending to other matters, and only then returning to assume leadership. This natural progression suggests a modified framework: A-I-D-C (Appreciation – Influence – Distancing – Control).

Yet, the A-I-D-C sequence captures just one aspect of wise engagement. Fig. 7 explores the broader possibilities of what the fourth step of Wisdom/Prudence can offer.



Schemes A and B show the best sequences with Wisdom/Prudence in T3 position, while C and D places it in T4 position. The corresponding PU and LT parameters are generally better than in Fig. 5 (see white points in Fig. 6).

Table 1 shows that the Wisdom/Prudence step is flexible in its positioning within the 4segmented wheels, as it maintains balanced diagonal oppositions with all other factors (indicated by similar levels of grey shading).

		Appreciation		Influence		Control				
۔ امرا	uence	Facilitat	Insight	0.4						
	uence	Manipul	Detach	0.6						
C	ontrol	Order	Insight	0.5	Order	Facilitat	1.0			
		Suffocat	Detach	0.3	Suffocat	Manipul	0.5			
Wi	sdom	Prudent	Insight	0.9	Prudent	Facilitat	0.7	Prudent	Insight	0.9
	caom	Dogmat	Detach	0.3	Dogmat	Manipul	0.4	Dogmat	Detach	0.3

Table 1. Diagonal oppositions within AIC and Wisdom as the 4th factor

*Numbers denote the level of opposition, as estimated by GPT-o1: 1 - direct semantic opposition, 0 - no opposition. Grey areas indicate poor oppositions and thus weak complementarity.

In contrast, the original AIC model shows weaker diagonal entanglement and thus less robust mutual complementarity. While the wheels from Figs. 5 (B, C) or Fig. 7 provide better working frameworks, further analysis could explore more nuanced transitional steps beyond "Wisdom/Prudence" and their situation-specific applications.

Though no single method can address all scenarios, this analysis demonstrates that the principle of diagonal oppositions and complementarity offers universal analytical value.

References

Smith, W. E. (2008). *The Creative Power: Transforming Ourselves, Our Organizations, and Our World*. Routledge. <u>https://doi.org/10.4324/9780203888780</u>